

Banner Financial Aid New Aid Year Checklist

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November 2009	New version that supports Banner Financial Aid 8.5.2 software.
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2010–2011 New Aid Year Checklist



The intent of this document is to ensure that you have set up the required tables for new aid year processing.



Tip

You can also use this document to assist in a new implementation of Banner Financial Aid. ■

This checklist begins with a description of the Financial Aid New Year Roll process (ROPROLL). The ROPROLL process helps you to set up your Financial Aid system for the new aid year by rolling selected rules from one aid year to the next.

Following the description of the ROPROLL process, the checklist is organized by Financial Aid module. The order in which you set up each module is not important. This document ends with a listing of system validation forms and system tables required for Financial Aid processing.

The following special characters are used throughout the checklist to indicate if a form is required and why it is.

- * An asterisk beside the form name indicates that the form is an optional system form.
- ** A double asterisk distinguishes those forms within a specified module that are required if Banner Human Resources or Banner Finance is installed.
- + A plus sign denotes those forms within a specified module that are required if you implement the specific function (for example, automatic packaging).

For your reference, the following modules are included in the checklist.

[“Common Functions Module \(*RESCOMN\)” on page 9](#)

[“Data Management Module \(*RESDATA\)” on page 11](#)

[“Need Analysis Module \(*RESNEED\)” on page 12](#)

[“Requirements Tracking Module \(*RESTRACK\)” on page 14](#)

[“Budgeting Module \(*RESBUDG\)” on page 16](#)

[“Funds Management Module \(*RESFUND\)” on page 18](#)

[“Packaging And Disbursement Module \(*RESPACK\)” on page 20](#)

[“Loan Processing Module \(*RESLOAN\)” on page 25](#)

[“Electronic Data Exchange \(EDE\) Module \(*RESEDE\)” on page 26](#)

[“Student Employment Module \(*RESEMPL\)” on page 27](#)

[“Athletic Module \(*RESATHL\)” on page 28](#)

The following tables are also included for your reference.

[“Schedule A — System Validation and Rules Form Reference” on page 29](#)

[“Schedule B — Delivered System Required Data” on page 31](#)

Financial Aid New Year Roll Process (ROPROLL)

The ROPROLL process rolls over selected aid year-specific information from one aid year to the next. Through the process parameters, you have the option to select which rules will be rolled to the new aid year. Parameters 3 through 11 default to *Y*. If you do not wish to use a certain parameter, you will need to change the parameter value to *N*. You can determine if the roll was successful by printing or reviewing online the ROPROLL output file created by this process.

Warning

If you manually enter rules for a module on the RORRULE, RORWEBQ, RORWTAB, RORWTXT, and RPRALGR forms before you run ROPROLL, the rules that you have entered manually will not be overwritten. ROPROLL will only roll rules that do not yet exist for the new aid year.

Example: You have entered a tracking rule for a group, INDVER, on RORRULE for the 2010-2011 aid year, but have not entered rules for other tracking groups. When you run ROPROLL for 2010-2011, the process will perform the Tracking Rules Roll step, if you select it, but it will not update the rules for the INDVER tracking group. ■

Use the following checklist to assist you in running the ROPROLL process.

_____ Step 1: **Set up Institutional Options** (ROAINST/ROAUSIO or Parameter 11) -- Set up data on ROAINST and ROAUSIO for the new aid year. You can do this manually on ROAINST and ROAUSIO before you run ROPROLL, or you can use Parameter 11 to perform this step automatically when you run ROPROLL.

Note: Data will only be rolled if it does not already exist in the new aid year.

Financial Aid Options (Parameter 11) -- Perform roll of Financial Aid Options data. This parameter rolls the aid year-specific Financial Aid Options data for the current aid year to the new aid year. If you select to use this option, values for parameters 12 through 18 must be entered.

Tables which are rolled include: ROBINST and RORINID.

Review the aid year-specific forms outlined in the Financial Aid Options Module section to assure that the aid year-required changes are made.

_____ Step 2: **Parameters for Financial Aid Options Roll** (Parameters 12-18) -- Enter values for Parameters 12 through 18 if you have selected to use Parameter 11 to roll the Financial Aid Options data.

- Parameter 12 -- Active Aid Year
Indicate if this is an active aid year or not. Values are [A]ctive or [I]nactive.
- Parameter 13 -- Pivot Date
Enter the pivot date (DD-MON-YYYY).
- Parameter 14 -- Aid Year Description
Enter a description to identify the aid year (up to 30 characters).
- Parameter 15 -- Aid Year Start Date
Enter the full date the aid year begins (DD-MON-YYYY).
- Parameter 16 -- Aid Year Start Year
Enter the year the aid year begins (YYYY).
- Parameter 17 -- Aid Year End Date
Enter the full date the aid year ends (DD-MON-YYYY).
- Parameter 18 -- Aid Year End Year
Enter the year the aid year ends (YYYY).

_____ Step 3: **From and To Aid Year** (Parameter 01 and Parameter 02) -- Select the Aid Year to roll. Indicate in Parameter 01 the current aid year from which you want to roll the financial aid data. Indicate in Parameter 02 the new aid year into which you want to roll the financial aid data.

_____ Step 4: **Group Selection Rules Roll** (Parameter 03) -- Perform Roll of Group Rules. This parameter rolls Group Assignment Rules, Fund Awarding and Disbursement Rules, and Group Packaging Awarding Rules found in the current aid year to the new aid year. Review the aid year-specific forms outlined in each module to assure that the aid year-required changes are made.

Tables which are rolled include RORGDAT, RORCMPL, and RORGSQL. (Records with inactivate rules on RORGDAT will not be rolled.)

 **Note**

Both simple and expert (compiled) rules are being rolled. It is unnecessary to compile the rolled rules for use in processing. ■

Review the sections of this document devoted to the modules for the RORRULE form to assure that data within the rules is valid for the new aid year.

_____ Step 5: **Fund Aid Year-Specific Roll** (Parameter 04) - Perform roll of fund data. This parameter rolls the aid year-specific Funds Management Rules found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Funds Management module to assure that the aid year-required changes are made.

Tables which are rolled include: RFRASPC, RFRCOMM, RFRBCMP, RFRDETC, RFRREQ, RFRMMSG, RPBLOPT. (Records in RFRREQ and RPBLOPT with an inactive **Tracking Requirement Code** on RTVTREQ will have the **Tracking Requirement Code** set to Null.)

Review the Funds Management Section of this document for the specified forms to ensure that data within the rules is valid for the new aid year.

_____ Step 6: **Budgeting Rules Roll (Parameter 05)** - Perform roll of budget data. This parameter rolls selected aid year-specific Budgeting Rules found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Budgeting Module section to assure that the aid year-required changes are made.

Tables which are rolled include: RBRCOMP and RBRGMSG. (Records with inactive Budget Group Codes on RTVTGRP will not be rolled.)

Review the Budgeting Module of this document for the forms RBRCOMP and RORMESG to assure that data within the rules is valid for the new aid year.

_____ Step 7: **Tracking Rules Roll (Parameter 06)** - Perform roll of tracking data. This parameter rolls selected aid year-specific Tracking Rules found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Tracking Module section to assure that the aid year-required changes are made.

Tables which are rolled include: RRRGREQ and RRRTMSG. (Records with inactive Tracking Group Codes or inactive Tracking Requirement Codes on RTVTGRP will not be rolled.)

Review the Tracking Module section of this document for the *forms* RRRGREQ and RORMESG to assure that data within the rules is valid for the new aid year.

_____ Step 8: **Packaging Rules Roll (Parameter 07)** - Perform roll of packaging data. This parameter rolls selected aid year-specific Packaging Rules found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Packaging Module section to assure that the aid year-required changes are made.

Tables which are rolled include: RORAUDT, RPBOPTS, RPRGGAP, RPRGSH, RPRGEQY, RPRDEFG, RPRDEFE, RPRDEFS, RPRFSRC, RPRFCAT, RPRGFND, RPRCLSS, RPRDEGR, RPRMAJR, and RPRALGR. (Records on RPRALGR, RPRDEFE, RPRDEFG, RPRDEFS, RPRGEQY, RPRGGAP, RPRGSH, and RPRGFND with inactive Algorithmic Packaging Group Codes on RTVALGR will not be rolled.)

Review the Packaging Module section of this document for the *forms* RPRAUDT, RPROPTS, RPRDEFR, RPRPCKR, RPRGFND, RPRCLSS, RPRDEGR, RPRMAJR, RPRFSRC, RPRFCAT, and RPRALGR to assure that data within the rules is valid for the new aid year.

_____ Step 9: **Common Functions Rules Roll** (Parameter 08) - Perform roll of common data. This parameter rolls selected aid year-specific Common Functions rules found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Common Functions Module section to assure that the aid year required changes are made.

Tables which are rolled include: RORUSER, RORPOST, RCRINFR, RCRINFC, RORCAMP, RORCLVE, RORCODI, RTVINTL, RORWEBQ, RORWBQA, RORWSQL, RORWTAB, RORWTXT, RORWEBR, and RORWEBA. (Records in RORCAMP with an inactive Pell Fund Code on RFRBASE will have the Pell Fund Code set to Null.)

Review the Common Functions Module section of this document for the *forms* RORUSER, RORPOST, RCRDTSR, RORCODI, ROAUSIO, and ROAINST to assure that data within the rules is valid for the new aid year.

_____ Step 10: **Student Employment Rules Roll** (Parameter 09) - Perform roll of employment data. This parameter rolls selected aid year-specific Student Employment rules found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Student Employment Module section to assure that the aid year-required changes are made.

Tables which are rolled include: RJRPLRL.

Review the Student Employment Module section of this document for the *form* RJRPLRL to assure that data within the rules is valid for the new aid year.

_____ Step 11: **Athletic Data Roll** (Parameter 10) - Perform roll of athletic data. This parameter rolls selected aid year-specific athletic data found in the current aid year to the new aid year. If this step is selected, review the aid year-specific forms outlined in the Athletics Module section to assure that the aid year-required changes are made.

Tables which are rolled include: RARPAGD and RARPAGS.

Review the Athletic Module section of this document for the RAAPAGD Form to assure the data within the rules is valid for the new aid year.

Common Functions Module (*RESCOMN)

Review the following non-aid-year-specific forms within the Common Functions Module to assure that the codes meet the requirements for the new aid year.

- RTVAPRD • RTVHOLD • RTVINTL • RTVMESG • RTVSAPR
- RORDSUP • ROALOGC • RORWVAR • RORNYVD • RTVWEBQ
- RTVWTXT • RTVELTM • RORELTR • RORELTf RTVWVAR
- ROAINST
(this form is
aid year
specific)

If you ran the ROPROLL process to roll the Common Functions information or the Group Selection information, review the forms that follow to assure that new aid year-required changes are made.

- RORUSER • RORPOST • RORCODI • ROAUSIO • RCRDTSR
- RORWTXT • RORWBQA

If Group Selection Information was rolled, review all applicable simple and expert rules on the RORRULE form.

Additionally, review ROAINST to determine if changes need to be made to exclude course levels by Student Level.

Use this checklist to assist you with your setup of the Common Functions Module.

Common Functions Module Aid Year-Specific Forms

_____ROAINST/
_____ROAUSIO

Create new aid year definition and aid year-specific institutional options.

RTVTREQ
RTVTRST
STVTERM
RTVAPRD
RTVSAPR
RTVTGRP
RTVBGRP
RTVPGRP
STVLEVL

Create a new aid year before you enter the system rules forms.

If you do not use the standard Banner aid year format (e.g., 1011), you must customize the system-required tables to reference your aid year definition (refer to Schedule B).

You must also associate your aid year definition with the defined terms on STVTERM.

_____RORDATA

Review the indicators for logging, Pell corrections, and INAS override fields to ensure that these fields are correctly set for the new aid year.

Prerequisites Comments

The release for the new aid year is installed.

SunGard Higher Education delivers the values on this form with the new aid year updates of Banner Financial Aid. These values only become available with the install of the new release.

_____RORTPRD

Associate terms from STVTERM to aid periods for the new aid year.

Prerequisites Comments

ROAINST
RTVAPRD
STVTERM

Ensure that rules are setup for all aid periods that you will use.

_____RORUSER

Define optional institutionally defined field definitions for new aid year as needed.

Prerequisites Comments

ROAINST

Common Functions Module Aid Year-Specific Forms *(cont)*

_____RORPOST Define batch posting rules for the new aid year as necessary.

Prerequisites

Comments

ROAINST
GLRAPPL
GLRSLCT
Related
Validations

You must define Selection IDs on GLRSLCT under the defined Financial Aid application in order to complete the RORPOST rules.

These rules are not required in order to process financial aid for the new year unless batch posting is a requirement.

Be sure that the RTVPTYP validation form contains the necessary system required values.

Data Management Module (*RESDATA)

Prior to building aid year-specific rules, review the following non-aid-year-specific forms within the Data Management Module to assure that the codes meet the requirements for the new aid year. These forms can be found in the Data Management Menu (*RESDATA) under the Financial Aid Common Functions Menu (*RESCOMN).

- RTVINFC • RCRTPTR • GTVCMSC • GORCMSC
- GORCMRL • GORNAME • RCRDTSR • ROAINST/
ROAUSIO

(these forms are aid year-specific).

Use the following checklist to assist you in your setup of the Data Management Module.

Data Management Module

Aid Year-Specific Forms

_____ROAINST

Populate year-specific fields.

_____GORCMRL
_____RCRDTSR

Create Data Source Matching Rules for the new aid year for batch and online matching.

Data Management Module

Aid Year-Specific Forms

Prerequisites Comments

RTVINFC
GTVCMSC
GORCMSC

_____ GJAPDFT

Create a *Saved* parameter set for Data Source for the new aid year for each user loading records online from suspense Financial Aid Suspended Records Maintenance Form (RCRSUSP).

Need Analysis Module (*RESNEED)

Review these Need Analysis Module forms prior to building aid year-specific rules to ensure that the codes meet the requirements for the new aid year.

- RORTPRD • RPROPTS • ROAINST • RFRMGMT • RPRCLSS
- RFRDEFA • RORCODI • ROAUSIO • RFRASCH

Note

The following steps for Pell grant processing are necessary when you perform the online INAS calculation since this process may include the Pell calculation as a secondary phase. You are not required to complete these steps if you only run the INAS calculation exclusively in batch mode or you have prevented the Automatic Pell Calculation on ROAUSIO. ■

Use the following checklist to assist you in your setup of the Need Analysis Module.

Need Analysis Module

Aid Year-Specific Forms

_____ RPROPTS

Check the following packaging fields for the new aid year.

Prerequisites Comments

ROAINST
ROAUSIO
RTVTRST
STVTERM

Set the Estimated Enrollment Indicator to *Y* if you want to permit the use of an applicant's estimated enrollment for a Pell, ACG, SMART, or TEACH Grant in packaging. Enter a value in the **Default Estimated Enrollment** field for those cases in which an applicant's estimated enrollment does not exist (*1* - Full Time, *2* - 3/4 Time, or *3* - Half Time).

Need Analysis Module

____ ROAINST/
____ ROAUSIO

Aid Year-Specific Forms *(cont)*

Review the Global Institution Financial Aid Options Form for the new aid year.

Prerequisites **Comments**

Check to see if all fields in the Options section of the ROAINST form have been completed.

____ ROAUSIO

Review the U.S. Specific Institution Financial Aid Options Form for the new aid year.

Prerequisites **Comments**

ROAINST Check to see if all fields in the Institutional Defaults, Campus Defaults, and Grant and EDE sections of the ROAUSIO form have been completed.

____ RFRMGMT

Review the Fund Management Form for the new aid year and fund code.

Prerequisites **Comments**

RFRBASE Enter the necessary data on the RFRMGMT form for the
RTVAWST Pell, ACG, SMART and TEACH fund codes for this aid
year.

____ RPRCLSS

Review the Class Code Translation Form for this aid year.

Prerequisites **Comments**

STVLEVL Use the RPRCLSS form to convert the Banner Student
STVCLAS level code and class code combinations into Banner
Financial Aid class codes. Ensure that you have a rule for
each level code with a null class code.

Requirements Tracking Module (*RESTRACK)

Review the following forms before you create aid year-specific rules for the Requirements Tracking Module to ensure that the codes meet the requirements for the new financial aid processing year (i.e., add the necessary aid year-specific tracking requirements).

- ROAINST
- RTVTGRP
- RTVTREQ
- ROAUSIO

If you ran the ROPROLL process to roll the *Tracking* information or the *Group Selection* information from the current aid year to the new aid year, review the forms that follow to assure that new aid year required changes are made.

If you rolled *Tracking* information, review the following forms.

- RRRGREQ
- RORMESG

If you rolled *Group Selection Rules*, review all applicable simple and expert rules on the RORRULE form.

Use the following checklist to assist you in your setup of the Tracking Module.

Tracking Module

_____RRRGREQ

Aid Year-Specific Forms

Associate tracking requirements to tracking groups for the new aid year. Use the **Copy** function to copy group rules from the prior year to the new year.

Prerequisites Comments

RTVTGRP
RTVTREQ
ROAINST

_____RORRULE

Create Group Assignment Rules for the new aid year. Use the **Copy** function to copy group rules from the prior year to the new aid year.

Tracking Module

Aid Year-Specific Forms *(cont)*

RTVTGRP
ROAINST

Check the values in the rules to ensure that you do not need to update hard coded dates. Use ROIGRPI to verify that you set up all required rules for the new aid year (the **Rules Exist Indicator** is set to *Y*).

Confirm that the **Default Group Code** exists on ROAINST for the new aid year.

Use ROIGRPI from the prior year to derive the **Priority Codes** on RTVTGRP for the new aid year.

Ensure that **Group Assignment Rules** that use the ROVADxx, ROVSTxx, ROVA2xx, ROVAMxx, and ROVAYxx views utilize the new aid year.

_____RORMESG*

Associate new messages to tracking requirement codes for use in Tracking Letter Generation.

Prerequisites **Comments**

RTVMESG
ROAINST
RTVTREQ

Set up new message codes on the Message Code Validation Form (RTVMESG). Make sure that you update messages which may contain dated information that is hard-coded within the text.

Budgeting Module (*RESBUDG)

Review the following forms before you create aid year-specific rules for the Budgeting Module to assure that the codes meet the requirements for the new aid year.

- ROAINST
- RTVAPRD
- RTVBTYP
- RTVCOMP
- RTVBGRP
- RBRGTYP

If you ran the ROPROLL process to roll the *Budgeting* information or the *Group Selection* information from the current aid year to the new aid year, review the forms that follow to assure that new aid year required changes are made.

If you rolled *Budgeting* information, review the following forms.

- RBRCOMP
- RORMESG

If *Group Selection* information was rolled, review all applicable simple and expert rules on the RORRULE form.

Use the following checklist to assist you in your setup of the Budgeting Module.

Budgeting Module

_____RBRCOMP

Aid Year-Specific Forms

Create budget component associations to Budget Group/Type/Period combinations.

Prerequisites Comments

RTVBGRP
RBRGTYP
RTVAPRD
RTVCOMP

Create full 100% budgets first. You need to create prorated budgets if you do not want strict prorating of all component amounts. (You can only build 100% budgets for Pell budgets.)

Use the **Copy** function to copy rules to the new aid year. After you copy the rules, use the adjustment functionality to update component amounts.

Use RBIBUDG to track those budgets which have been built for the new aid year.

Budgeting Module

_____RORMESG*

Aid Year-Specific Forms *(cont)*

Associate budget messages to budget groups for use in award letter generation (if you desire).

Prerequisites Comments

RTVMESG
RTVBGRP

Set up new message codes on RTVMESG.

Review messages from the previous year to ensure that hard-coded date information is not embedded within the text of the message.

_____RORRULE

Create **Budget Group Assignment Rules** for the new aid year.

Prerequisites Comments

ROAINST
RTVBGRP

Use the **Copy** function to copy group assignment rules to the new aid year.

Use ROIGRPI to assure that you build all required rules. Check values in the rules to ensure that you do not need to update hard-coded dates.

If you use ROVADxx, ROVSTxx, ROVA2xx, ROVAMxx, and ROVAYxx views, be certain that you use the correct aid year view.

Confirm that the **Default Budget Group Code** exists on ROAINST for the new aid year.

Use ROIGRPI from the prior year to derive priority codes for the new aid year on RTVBGRP.

Funds Management Module (*RESFUND)

Review the following forms before you create aid year-specific rules for the Funds Management Module to assure that the codes meet the requirements for the new aid year.

- ROAINST • ROAUSIO • RTVFTYP • RTVFSRC
- RORTPRD • RFRBASE • RTVAWST

If you ran the ROPROLL process to roll the Fund information or Group Selection information from the current aid year to the new aid year, review the forms that follow to assure that new aid year-required changes are made.

If *Fund* information was rolled, review the RFRMGMT form.

If *Group Selection* information was rolled, review all simple and expert Fund Awarding and Fund Disbursement rules on the RORRULE form.

Use the following checklist to assist you in your setup of the Funds Management Module.

Funds Management Module	Aid Year-Specific Forms
<p>_____ ROAUSIO</p>	<p>Check Use Multi-Award Years Perkins MPN field on the Institution Loan Options window if you use the Multi-Award Years Perkins MPN at your institution.</p> <p>Prerequisites Comments</p> <p>ROAINST Check to see if all fields in the Institutional Defaults, Campus Defaults, and Pell and EDE sections of the ROAINST form have been completed.</p>
<p>_____ RFRMGMT</p>	<p>Build aid year-specific data for each fund that you intend to use from RFRBASE in the new aid year.</p> <p>Prerequisites Comments</p> <p>RTVAWST Use budget totals from the prior year to help determine over-commitment rates for the new aid year.</p> <p>RTVCOMP</p> <p>RTVTREQ</p> <p>RTVMESG</p> <p>TSADETC</p>

Funds Management Module

Aid Year-Specific Forms *(cont)*

_____RFRDEFA

Create term award and disbursement default schedules for the new aid year by aid period.

Prerequisites **Comments**

RTVAPRD
RORTPRD
ROAINST
RPROPTS

Banner uses these default rules when you do not specify fund specific award and disbursement rules (RFRASCH).

The **Memo Expiration Date** is required if funds are able to be memoed.

The disbursement date initially defaults from the term cut-off date as you define it on RPROPTS for the new aid year. If you do not define cut-off dates, Banner defaults the start date of the term from STVTERM.

_____RFRASCH*

Create optional term award and disbursement schedules for the new aid year by aid period and fund code.

Prerequisites **Comments**

ROAINST
RORTPRD
RFRMGMT
RFRDEFA

You only need to define these rules if a different award and/or disbursement schedule is unique to the specific fund code.

If you use the Create Record function, Banner initially defaults schedules from RFRDEFA for the aid period.

_____RORRULE

Create optional Fund Awarding Rules for the new aid year by fund code.

Prerequisites **Comments**

ROAINST
RFRMGMT

Use the Copy function to copy rules from the prior aid year to the new aid year.

If you use the ROVADxx, ROVSTxx, ROVA2xx, ROVAMxx, and ROVAYxx views, be certain to use the new aid year views in your rules.

Check for embedded values in rules which are year-specific and update the values, if necessary.

Packaging And Disbursement Module (*RESPACK)

Review the following forms before you create aid year-specific rules for the Packaging and Disbursement Module to assure that the codes meet the requirements for the new aid year.

- ROAINST • RTVALGR • RTVFCAT • RTVPGRP
- RTVAWST • STVTERM • STVMAJR • ROAUSIO
(Aid Eligible Indicator)

If you ran the ROPROLL process to roll the Packaging information or the Group Selection information from the current aid year to the new aid year, review the forms that follow to assure that new aid year required changes are made.

If you rolled *Packaging* information, review the following forms.

- ROASECT • RPRAUDT • RPRFCAT • RPRFSRC • RPRALGR • RPROPTS
- RPRDEFER • RPRPCKR • RPRGFND • RPRCLSS • RPRMAJR • RPRDEGR

If you rolled *Group Selection*, review all simple and expert Packaging Group Assignment and Packaging Group Fund Awarding rules on the RORRULE form.

Use the following checklist to assist you in your setup of the Packaging and Disbursement Module.

Packaging & Disbursement Module

_____ RPROPTS

Aid Year-Specific Forms

Create aid year-specific Packaging and Disbursement options.

Prerequisites	Comments
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ROAINST RTVTRST STVTERM	Confirm that STVTERM has a new aid year associated with it to validate terms.
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**Packaging & Disbursement
Module**

Aid Year-Specific Forms *(cont)*

_____ RPRAUDT*

Create aid year-specific rules for Audit Grading Mode courses for disbursement processing if you elect to use adjusted enrollment hours on the RPROPTS form.

Prerequisites **Comments**

ROAINST Define the Grading Modes or Registration Status Codes for courses which should be excluded from a student's enrollment hours for financial aid purposes.

Use the Copy To function to copy the rules to the new aid year.

_____ ROASECT

ROAINST Create aid-year-specific rules for excluding individual courses in the calculation of enrollment for disbursement processing if you elect to use adjusted enrollment hours on the RPROPTS Form.

SSASECT Complete the Banner Student SSASECT Form or establish the Course sections in Student prior to entering data on ROASECT.

_____ RPRCLSS

Create Class Code Translations for use in Disbursement Processing for the new aid year.

Prerequisites **Comments**

ROAINST Use this form in the translation of the **Class Code** and
STVLEVL **Level** combinations from the Banner Student System to a
STVCLAS Financial Aid **Class Code** for the disbursement of federal
 funds where you apply federal rules.

Confirm the accuracy of STVLEVL and STVCLAS values.

Note that in Banner Student, the **Class Code** can be a null value. Be sure that combinations of **Level Codes** and **Class Codes** include the possibility that the **Class Code** is null.

_____ RORRULE*+

Create Packaging Group Assignment Rules for the new aid year. Note that maintenance to package groups is only necessary if you use automated packaging.

**Packaging & Disbursement
Module**

Aid Year-Specific Forms *(cont)*

Prerequisites **Comments**

ROAINST Use the **Copy** function to copy rules from the prior aid year
RTVPGRP to the new aid year.

Use ROIGRPI to ensure that you create all required rules for the new aid year.

Be sure to update rules with hard-coded, year-specific values. If you use ROVAD_{xx}, ROVST_{xx}, ROVA2_{xx}, ROVAM_{xx}, and ROVAY_{xx} views, be sure to use the proper year view.

Use ROIGRPI from the prior year to derive group priority codes for the new year on RTVPGRP. Ensure that the **Packaging Default Group Code** exists on ROAINST.

_____ RPRDEFR*+

If you use Gap, Self-Help, or Equity Packaging philosophies, create the default rules on the RPRPCKR form for packaging groups.

Prerequisites **Comments**

ROAINST The Financial Aid System uses the values on the
RPRDEFR form to default values to the RPRPCKR form.
It does not use the RPRDEFR values in processing.

_____ RPRPCKR*+

Create Gap, Self-Help, or Equity Packaging Rules by packaging group for the new aid year.

Prerequisites **Comments**

ROAINST Use the **Count Query Hits** function to query rules on
RTVPGRP RPRDEFR or the **Create Record** function to copy the
default rules in each section.

_____ RPRGFND*+

Create fund code associations and algorithmic rule associations to packaging groups for the new aid year.

**Packaging & Disbursement
Module**

Aid Year-Specific Forms *(cont)*

Prerequisites **Comments**

ROAINST RFRMGMT records must exist for the new aid year for the
RTVPGRP desired funds before you can build these rules.
RFRMGMT Use these rules only in automatic packaging.
RTVALGR Use the **Copy** function to copy rules from the prior aid year
 to the current aid year.

_____RORRULE*+ Create optional group/fund awarding rules for the new aid year.

Prerequisites **Comments**

ROAINST Use the **Copy** function to copy rules from the prior aid year
RTVPGRP to the new aid year.
RPRGFND You must associate the fund with the Packaging Group on
 RPRGFND before you can create group-specific awarding
 rules.
 Use the **Create Record** function to copy Fund Awarding
 rules from RORRULE for the aid year/fund code
 combination. Be sure to update rules with hard-coded,
 year-specific values.

_____RPREXPT* Create optional exemption interface rules.

Note: The Financial Aid Term Roll Process (ROPTERM) rolls existing data for third party contracts and exemptions from one term to a new term. The exemption must exist in TBBEXPT for the roll “to” term.

Prerequisites **Comments**

ROAINST You can only build rules for an exemption code if it is
RPROPTS already built in Banner Accounts Receivable for the term.
TSAEXPT Check the Packaging Options 'Interface Exemptions'
 indicator on RPROPTS for the new aid year in order to
 interface exemption codes.
 Students only receive the estimate/payment amount in their
 package as a resource if you authorize the students for the
 exemption for the intended terms on TSAEXPT.

**Packaging & Disbursement
Module**

_____RPRCONT*

Aid Year-Specific Forms *(cont)*

Create optional Contract Interface rules for those contracts in which you want to count student recipient amounts as a resource in their aid package.

Note: The Financial Aid Term Roll Process (ROPTERM) rolls existing data for third party contracts and exemptions from one term to a new term. The **Contract ID/Contract Number** must exist in TBBCONT for the new term.

Prerequisites **Comments**

ROAINST
RPROPTS
TSACONT

You can only define contract interface rules for those contracts already built for the intended terms on TSACONT.

The Packaging Options **Interface Contracts Indicator** on RPROPTS must be checked in order to invoke rules and to count estimate/payment amounts as a resource in the student's aid package.

Students only receive the contract/payment amount as a resource in their package if the you authorize the students for the intended contract on TSACONT.

_____RPRFSRC*

Create optional fund source maximum award rules for the new aid year.

Prerequisites **Comments**

ROAINST
RTVPGRP
RTVFSRC

Use the **Copy** function to copy rules from the prior aid year to the new aid year.

Only one fund source code can have a maximum for each packaging group.

These rules are only invoked by the automatic packaging program.

_____RPRDEGR*

Review the values in RPRDEGR for the necessary updates based on your institution's definition of Degree Award and to add the institution-specific values you have determined as eligible for ACG, SMART, or TEACH Grants.

_____RPRMAJR*

Review the values in RPRMAJR for the necessary updates based on your institution's TEACH and 5th Year SMART Grant-eligible majors.

**Packaging & Disbursement
Module**

_____RPRALGR*+

Aid Year-Specific Forms *(cont)*

Create optional algorithmic packaging rules for the new aid year. Note that maintenance to algorithmic packaging rules is only necessary if you use algorithmic rules within automatic packaging.

Prerequisites **Comments**

ROAINST Use the Copy function to copy rules from the prior aid year
RTVFCAT to the new aid year.
RTVPGRP

Loan Processing Module (*RESLOAN)

Review the following forms before you create aid year-specific rules for the Loan Processing Module to assure that the codes meet requirements for the new aid year.

- ROAINST • ROAUSIO • RTVLNST • RPRLNDR • RFRBASE

Use the following checklist to assist you in your setup of the Loan Module.

Loan Module

_____RPRLOPT

Aid Year-Specific Forms

Create aid year-specific options for each loan fund that you process in the loan module.

Prerequisites **Comments**

ROAINST Set up fund codes for the loans you want to process through
RTVTREQ the loan module with the **Loan Process indicator** checked
RFRMGMT/
RFRBASE on the RFRMGMT form for the new aid year.
RTVTRST
RTVLNST
RTVAWST
RPRLNDR

_____RPRLPRD

Create valid loan periods for the new aid year.

Prerequisites	Comments
ROAINST RTVAPRD/ RORTPRD STVTERM	Create aid year-specific loan periods for the new aid year since rules are term and date specific.

Electronic Data Exchange (EDE) Module (*RESEDE)

For Pell Corrections and COD processing, check the rules on the following forms to assure that you set the rules correctly for the new aid year. Also, refer to the most recent COD Handbook for more detail on set up.

Use the following checklist to assist you in your setup of the EDE Module.

EDE Module

Aid Year-Specific Forms

_____ROAUSIO

Prerequisites **Comments**

For corrections processing, use the Pell and EDE Options window to check the EDE Correction indicator for the new aid year. Update the desired options for Pell processing.

Institutional Defaults Window

Enter the default COD Attending ID for the institution in the **Attending ID** field. This automatically populates the **Reporting ID**, **Source ID**, **Pell ID**, and **OPEID** fields on this tab, as well as the **Direct Loan School Code** field on the Loan Options window with the data established for the **Attending ID** on the RORCODI Form.

Enter the **Main Campus Entity ID** and the **Title IV Destination Number** assigned to your institution in the appropriate fields. If you use an EDE Service Agent, enter the code in the **Service Agent Code** field.

Campus Defaults Window

Build all data related to each campus on the Campus/EDE Defaults window.

_____RORCODI

EDE Module

Aid Year-Specific Forms *(cont)*

Prerequisites **Comments**

Review the ID relationships built on RORCODI for the aid year to verify that relationships are correct. Ensure that one record is identified as the Institutional Default.

_____RORDATA

Prerequisites **Comments**

Note that SunGard Higher Education delivers this system required form with all EDE correctable fields checked.

EDE records are loaded through the standard Record Creation process. (Refer to the Record Creation Module section for more information.)

Student Employment Module (*RESEMPL)

Review the following forms before you create aid year-specific rules for Student Employment to assure that the codes meet the requirements for the new aid year.

- RTVAUST • RTVRFST • ROAINST
- RJAPLBD • RJRJREQ • RJRJOBT

Use the following checklist to assist you in your setup of the Student Employment Module.

Student Employment Module **Aid Year-Specific Forms**

_____RJRSEDR*

Define default authorization rules for the new aid year.

Prerequisites **Comments**

ROAINST
RTVAUST

_____RJRPLRL*

Create valid positions for each placement within the new aid year.

Student Employment Module Aid Year-Specific Forms *(cont)*

Prerequisites Comments

ROAINST If Banner Human Resources/Finance applications are not
RJAPLBD installed, the fields on this form are free-format.

_____RJRPAYL

Indicate the valid payrolls from Banner Human Resources which you want to load into Banner Financial Aid.

Prerequisites Comments

ROAINST This form is only required if you use Banner Human
STVTERM Resources to load payroll compensation information back
Banner HR/ to Banner Financial Aid.
Finance

Athletic Module (*RESATHL)

Review the following forms before you create aid year-specific rules for Athletics to assure that the codes meet the requirements for the new aid year.

- RTVFASP
- RTVAATP
- ROAINST

Use the following checklist to assist you in your setup of the Athletic Module.

Athletic Module

_____RAAPAGD*

Aid Year-Specific Forms

Define default athletic grant amounts for the new aid year.

Prerequisites Comments

ROAINST
RTVFASP

Schedule A — System Validation and Rules Form Reference

Table/Form	Description	System Required
* ROAUSIO	U.S.-Specific Institutional Financial Aid Options Form	Required for U.S. Dataload, Needs Analysis and Federal Fund processing.
* RORELTF	E-Mail Letter Format Rules Form	Required for E-Mail Letters.
* RORELTR	E-Mail Letter Rules Form	Required for E-Mail Letters.
* RORNYVD	Non Year User-Defined Variables Description Form	Required for Non Year User-Defined fields.
* RORUSER	User-Defined Variables Description Form	Required for User-Defined Fields.
* RORWBQA	Web Question and Answer Rules Form	Required for Self-Service Dynamic Questions.
* RORWTAB	Web Tab Rules Form	Required for Self-Service Dynamic Text.
* RORWTXT	Web Text Rules Form	Required for Self-Service Dynamic Text.
* RORWVAR	Web Variables Form	Required for Self-Service Dynamic Variables.
* RPRDEGR	Financial Aid Degree Rules Form	Required for ACG, SMART, and TEACH-eligible degree codes.
* RPRMAJR	Financial Aid Major Rules Form	Required for TEACH and 5th Year SMART-eligible major codes.
* RTVAATP	Athletic Aid Type Validation Form	Required for Athletic Module.
* RTVALGR	Algorithmic Packaging Rule Code Validation Form	Required for Algorithmic Rule Functionality within Automatic Packaging.
* RTVAPRD	Aid Period Validation Form	Required.
* RTVARSC	Resource Code Validation Form	Required for Other Resource Maintenance.
* RTVAUST	Authorization Validation Form	Required for Student Employment Module.
* RTVAWST	Award Status Validation Form	Required.
* RTVBGRP	Budget Group Validation Form	Required.
* RTVBTYP	Budget Type Validation Form	Required.
* RTVCCOM	Comment Category Code Validation Form	Required for Comment Category Codes.
* RTVCINT	Certification Initials Validation Form	Required for Loan Module.
* RTVCOMP	Budget Component Validation Form	Required.
* RTVELTM	E-Mail Letter Module Validation Form	Required for E-Mail Letters.
* RTVFASP	Financial Aid Sport Validation Form	Required for Athletic Module.
* RTVFCAT	Fund Category Validation Form	Required for Fund Category Functionality within Automatic Packaging.
* RTVFSRC	Fund Source Validation Form	Required.
* RTVFTYP	Fund Type Validation Form	Required.
* RTVHOLD	Hold Validation Form	Required for Hold Functionality.

Table/Form	Description	System Required
* RTVINFC	Data Interface Code Validation Form	System Required and Delivered with Values.
* RTVLNST	Loan Status Validation Form	Required for Loan Module.
* RTVMESG	Message Code Validation Form	Required for Messages in Letter Generation.
* RTVPGRP	Package Group Validation Form	Required for Automated Packaging.
* RTVPTYP	Batch Posting Type Validation Form	System Required and Delivered with Values.
* RTVRFST	Referral Source Validation Form	Required for Student Employment Module.
* RTVRJCT	Rejection Code Validation Form	System Required and Delivered with Values.
* RTVRPSR	Repayment Source Validation Form	Required for Short-Term Credit Module.
* RTVSAPR	Satisfactory Academic Progress Form	Required.
* RTVTGRP	Tracking Group Validation Form	Required.
* RTVTREQ	Tracking Requirements Validation Form	Required.
* RTVTRST	Tracking Status Validation Form	Required.
* RTVWEBQ	Web Question Validation Form	Required for Self-Service Dynamic Questions.
* RTVWTXT	Web Text Rule Code Validation Form	Required for Self-Service Dynamic Text.
* RTVWVAR	Web Variable Validation Form	Required for Self-Service Dynamic Variables.

Schedule B — Delivered System Required Data

Tables Reference

The following is a listing of system-required tables and associated forms (if applicable) which are required for Banner Financial Aid processing. The values in these tables are delivered and updated in the new year release, if required. Following the new year install, check these system-required forms to assure that the forms are accurate for the new aid year. Note that in addition to the aid year-specific tables listed below, the views ROVADxx, ROVAMxx, ROVSTxx, ROVA2xx, ROVAYxx, and ROVSAxx are also aid year-specific and must be customized if your aid year definition differs from the delivered definition (that is, *1011*).

Table	Description	Associated Form	Aid Year Specific?
RFRFFID	Federal Fund ID Table	RFIFFID	NO
RNRGLBL	INAS Global Policy Options Table	RNRGLxx	YES
ROBLOGC	Logging Control Base Table	ROALOGC	NO
RORDATA	Data Dictionary Table	RORDATA	YES
RORDSUP	Supplemental Log Data	RORDSUP	NO
RORDVAL	Audit Log Form/Data Code Table	[NONE]	NO
RORLEXM	Local Exit Mapping Table	[NONE]	NO
RORLOGC	Logging Control Repeating Table	[NONE]	NO
RORMVAL	Miscellaneous Validation Rules Table	ROIMVAL	NO
RORPELL	Pell Grant Payment Schedule Table	[NONE]	YES
RPRCIPC	Financial Aid CIP Code Rules Table	RPICIPC	NO
RPRFEDR	Federal Rules Table	RPIFEDR	YES
RPRGRNT	Grant Rules Table	[NONE]	YES
RPRSAHP	State Advanced/Honors Program Codes Table	RPISAHP	NO
RTVCDNT	COD Nation Table	RTVCDNT	NO
RTVCDST	COD State Table	RTVCDST	NO
RTVDLBT	Batch Type Validation Table	[NONE]	YES
RTVELTM	E-Mail Letter Module Validation Table	RTVELTM	NO
RTVICMT	ISIR Comment Code Text Validation Table	RTVICMT	YES
RTVINFC	Data Interface Validation Table	RTVINFC	NO
RTVINTL	International Translation Rules Table	ROAINST	YES
RTVPHAS	CommonLine Loan Application Phase Validation Table	[NONE]	YES
RTVPRCD	NSLDS Program Code Validation Table	[NONE]	NO

Table	Description	Associated Form	Aid Year Specific?
RTVPTYP	Posting Code Validation Table	RTVPTYP	NO
RTVRJCT	Rejection Code Validation Table	RTVRJCT	NO
RTVYICD	Year in College Description Table	[NONE]	NO
RURVERS	Financial Aid Version Control Table	GUAINST, GUAABOT	NO