## PREQUALIFICATION EVALUATION FORM PQ #1426-239 Flint Parking Structure Repairs For General Building Contractors DUE: October 23, 2012 at 4:00 PM

Contractor's Name:

### STEP #1

 Did contractor Not Prequalify based upon ANY of the following questions: 1A, 2A, 3A-3G, 3J-L, 4A, 4C, 5A, 6A-6B, 7A -7C, or by not providing Exhibit A through D?
 Yes, Not- Pre-qualified\*\_\_\_\_\_ No, continue \_\_\_\_\_

#### STEP #2 Responses to Qu

ses to Questions:		Results:	
Maximum Points Allowable:	100	{}	Pre-qualified
Minimum Score Required to Pre-qualify:	80	{}	Not Pre-qualified*
Total Score for Contractor:			

<b>RECAP SUBTOTALS OF EACH CATEGORY:</b>	Actual Points Received	Maximum Points
1.Pre-qualification Declaration		0
2. Construction Experience (weighted average)		20
3. California Contractor's License/Information		35
4. Safety Record and Program		35
5. Surety Record & Bonding Capacity		0
6. Insurance Capacity		0
7. Financial Statement Capacity		10
TOTALS		100

Applying for prequalification for the following checked projects:

PQ #1426-239 – Flint Parking Structure Renovation

Evaluator:

(Signature)

(Printed Name)

(Affiliation)

# \*REASON FOR NOT BEING PRE-QUALIFIED:

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
1.	Pre-qualification Declaration		
	A. Is the Declaration completed and signed, including an original notarized Power of Attorney or Corporate Resolution, if applicable?		NPQ 0
	SUBTOTAL for Pre-qualification Declaration Category		0
No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
2.	Construction Experience		
	Has the General Contractor included three (3) complete PROJECT DATA SHEETS that substantially meet the requirements: the project was completed within the past seven (7) years as CA licensed B General Contractor, project at least \$3 Million, with DSA, or local Public Agency Inspection Services oversight, with verifiable contact information? No Project Data Sheet Scoring (Average of the 3 project data sheets.) Natimum points allowable: 0 Project Data Sheet Scoring (Average of the 3 project data sheets.) Nate work performed for a California Community College District, CSU, or the UC system? Yes: (1) points No: (0) points Scope on data sheet similar to project description shown in Section 2ii? Similar: (4) points Somewhat Similar: (0) points Dissimilar: (-5) points No: (-2) points No: (-2) points A. CPM Schedule? Yes: (0) points No: (-1) points Maximum points allowable: 5 (averaged)	P1 P2 P3	NPQ -5 0
	Project reference Checks with listed client (-10 to 10 points) Maximum points allowable: 10 (averaged)	P1 P2 P3	-10 to 10 per project Averaged
	District review of Construction Experience, construction execution, reference checks and interpretation of the statement of qualifications. (0 to 5 points) Maximum points allowable: 5	P1 P2 P3	0-5 per project Averaged
	SUBTOTAL for Construction Experience Category		20

<sup>&</sup>lt;sup>1</sup> Projects that were not verified will receive a point score of -15 this category

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
3.	License and Business Information		
А.	Will your firm sign and be bound by the terms and conditions of the Project Stabilization Agreement agreed to by the Foothill - De Anza College Community College District and the Santa Clara & San Benito Counties Building & Construction Trades Council?		NPQ
	☐No ☐Yes ☐Not applicable to this project (not scored) Maximum points allowable: 0		0 N/A
B.	Does your firm, including any partner if a Joint Venture, hold a State of California contractor's license classification: <u>B – General Building Contractor</u> , which is current, valid, and in good standing with the California Contractor's State License Board for the work you propose bidding? $\square No$		NPQ
	Yes Maximum points allowable: 0		0
C.	Has your firm, or any of your firms' owners, officers or partners associated with the firm, been disqualified or barred from business with a public agency within the last ten (10) years? The term "associated with" refers to another construction firm in which an owner, partner or officer of your firm held a similar position.		
	Yes No Maximum points allowable: 0		NPQ 0
D.	Has your firm been denied an award of a public works contract based upon a finding by a public agency that your firm was not a responsible bidder in the last ten (10) years?		NPQ 0
E.	Has your firm had a complaint filed with the Contractor's State License Board <u>against</u> your company that required a formal hearing or inquiry within the last ten (10) years? Yes No, but justified <sup>2</sup> No, not justified Maximum points allowable: 0		NPQ 0 -1
F.	Has your firm's contractor's license under your classification ever been suspended, revoked, or been subject to disciplinary actions by the California Contractor's State License Board within the last ten (10) years? Yes No, but justified No, not justified Maximum points allowable: 0		NPQ 0 -1
G.	Has your firm or any officer or partner thereof, been terminated for cause by an owner, owner's representative or contracting party, or otherwise failed to complete a contract? Yes No, but justified No, not justified Maximum points allowable: 0		NPQ 0 -1

 $<sup>^{2}</sup>$  For the purposes of scoring "Justified" means that the resolution favored the contractor

	SUBTOTAL for License and Business Information Category	35
	Maximum points allowable: 10	
	None, or none in which the Owner prevailed	10
	Yes, 1-2 in which the Owner prevailed	5
	before scoring)	-
	$\Box$ Yes, 3-4 in which the Owner prevailed (consult with legal council	0
	legal council before scoring)	5
	Yes, 5 or more claims in which the Owner prevailed (consult with	-5
	California?	
L.	In the past five (5) years, have you had any claims and/or arbitrations, mediations, or litigation actions in excess of \$25,000 that were initiated by your firm <b>against</b> an Owner in	
т	Maximum points allowable: 10	
	No Maximum najita allawahlar 10	10
	Yes, 1-2	5
	$\Box$ Yes, 3-4	0
	Yes, 5 or more claims	-5
	settlement or award in excess of \$25,000 against your firm?	
	subcontractor in a California court against your firm on a construction contract resulted in a	
K.	In the past five (5) years has any claim that was mediated, arbitrated, or filed by a	
	Maximum points allowable: 10	
	$\square$ No	10
	$\Box Yes, 1-2$	5
	$\Box$ Yes, 3-4	
	$\Box Yes, 5 \text{ or more claims}^3$	NPO
	settlement or an award amount greater than \$25,000 <b>against</b> your firm	
J.	court by an Owner that concerns your firm's work on a construction contract resulted in a	
J.	In the past five (5) years has any claim that was mediated, arbitrated, or filed in a California	
	Maximum points allowable: 2(accumulated total)	-2
	Yes, Paid LDs on additional projects 21 days or more	-1
	Yes, Paid LDs on one project 21 days or more – OR -	
	Plus the following if applicable:	-1
	Yes, Paid LDs on additional projects 20 days or less	0
	$\Box$ Yes, Paid LDs on one project 20 days or less – OR-	2
	$\square No$	
1.	either a public or private owner at any time in the last five (5) years?	
L	Has your firm paid (or had deducted from the contract balance) liquidated damages with	
	Yes, Assessed LDs on additional projects 21 days or more Maximum points allowable: 3(accumulated total)	-2
	$\Box$ Yes, Assessed LDs on one project 21 days or more – OR –	-1
	Plus any of the following if applicable:	
	Yes, Assessed LDs on additional projects 20 days or less	-2
	Yes, Assessed LDs on one project 20 days or less – OR-	0
	No	3
	time in the last five (5) years?	
H.	Has your firm been assessed liquidated damages with either a public or private owner at any	

 $<sup>^3</sup>$  Scoring for 3J through 3L does not take into consideration the company size or the number of projects completed

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
4.	Safety Record and Program		
А.	Does your firm have a written safety program that meets CAL/OSHA requirements and California Labor Code Section 3201.5 or 6401.7? Confirmed a soft copy was received. Yes, requirement met No, requirement not met Maximum points allowable: 0	# criteria	0 NPQ
	Safety Program Components:	component	
	<ul> <li>Code of Safe Practices</li> <li>Heat Illness Prevention Program</li> <li>Hazard Communication Program (IIPP)</li> <li>Injury/Illness Prevention Program (IIPP)</li> <li>IIPP – Employer assignment of responsibilities</li> <li>IIPP – A system for ensuring employee compliance with safe work practices</li> <li>IIPP – A system for two-way communication between employers and employees regarding safety issues</li> <li>IIPP – Scheduled inspections and an evaluation system to identify hazards</li> <li>IIPP – An accident investigation process</li> <li>IIPP – Safety and health training</li> <li>IIPP – A recordkeeping process</li> </ul>	10 to 12 6 to 9 0 to 5	12 6 0
	Maximum points allowable: 12		
B.	Has your firm been cited by CAL/OSHA in the past ten (10) years (not willful or repeat)?  No citations  1-2 citations, none of which is willful or repeat  3-4 citations, none of which is willful or repeat  5 -6 citations, none of which is willful or repeat more than 6 citations, none of which is willful or repeat		12 8 4 0 -5
	Confirm information at http://www.osha.gov/pls/imis/establishment.html and score		
	accordingly. Maximum points allowable: 12 List all willful or repeat violations of Part 1 of Division 5 of the California Labor Code during the past ten (10) year period.		
	No violations  I 1-2 violations  more than 2 violations		5 0 -10
	Confirm information at <u>http://www.osha.gov/pls/imis/establishment.html</u> and score accordingly. Maximum points allowable: 5		
C.	What is the firm's current three (3) year average Worker's Compensation Experience Modification Rate (EMR) and was Exhibit B – EMR Declaration provided? 1.0 or less, with Exhibit B – EMR Declaration verifying EMR More than 1.0 or no insurance company letter provided Maximum points allowable: 6		6 NPQ
	SUBTOTAL for Safety Record and Program		35

5.	Surety Record & Bonding Capacity	
A.	Is your firm able to obtain total bonding capacity up to and including this projects'	
	\$4.3 million value?	
		NPQ
	□Yes	0
	Did the District receive Exhibit C – Surety Declaration from the Surety?	
	Maximum points allowable: 0	
B.	Has the Surety (past or present) paid out any monies for the construction activities of	
	the firm within the last ten (10) years?	
	No	0
	Yes	-5
	Did the District receive Exhibit C – Surety Declaration from the Surety?	
	Maximum points allowable: 0	
C.	How long has your firm been with this Surety?	
	Less than 2 years	not scored
	Between 2-10 years	not scored
	10 years or more	
	Maximum points allowable: N/A	
D.	Did contractor provide name/number, etc. of the Surety proposed and is a secured admitted	
	surety insurer in the State of California?	not scored
	No	
	Yes	
	Maximum points allowable:N/A	
	SUBTOTAL for Surety Category	0

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
6.	Insurance Capacity		
А.	Is your firm able to obtain the following insurance in the limits stated?		NPQ 0
В.	Did firm provide Exhibit D - Declaration <b>from the Insurance Company from the broker/agent</b> , stating that the firm is able to obtain insurance or have insurance in the limits stated above for this construction contract from the Insurance Company or from the broker/agent?  No Yes, and completed Exhibit D was received Maximum points allowable: 0		NPQ 0
C.	GL/Auto/Excess Carrier meet Best rating of A- or better & financial classification of VII or better. Workers Compensation, Builders Risk is optional and not scored. G/L/Auto/Excess Carrier: AM Best Rating: Financial Class: Insurance companies meet ratings Insurance companies do not meet ratings Maximum points allowable: N/A		not scored
	SUBTOTAL for Insurance Capacity Category		0

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
7.	Financial Statement and Information		
А.	Has the applicant verified gross receipts of at least \$10 million (for a 3yr average)?		NPQ 0
B.	Did contractor submit one (1) complete copy of the contractor's most recent reviewed or audited financials statements for the past three (3) years? No Yes Maximum points allowable: 0		NPQ 0
C.	Financial Ratios Current Debt Ratio Less than 1.0 1.0 to 1.25 over 1.25		NPQ 0 4
	Profitability Ratio Less than 0 0 to .20 over .20		NPQ 0 2
	Liquidity Ratio Less than 1.0 1.0 to 1.25 over 1.25		NPQ 0 4
Exhibit A	Maximum points allowable: 10 Is the Financial Statement Declaration (Exhibit A) or the SBA Declaration and disclosure included? No Yes and received declaration Maximum points allowable: 0		NPQ 0
	SUBTOTAL for Financial Statement Category		10

## CONSTRUCTION EXPERIENCE POINT SHEETS:

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
2.	Construction Experience (weighted average)		
Α.	Project #1 (Public Works client): Completed on: Completed between 9/30/12 to present? □No □Yes Construction Cost at bid: At least \$3 million at bid? □No □Yes □ No, did not meet requirements □ No, met requirements however project is at least 50% complete □ Yes, met all requirements Maximum points allowable: 0		Do not use/NPQ 0 0
	Project Data Sheet:         1. Was the work performed for a California Community College District, CSU, or the UC system?		1 0 4 0 -5 0 -2 0 -1

No.	CATEGORY/POINT RANGE P	Actual Points Rec'd	# of Points Avail
2.	Construction Experience (weighted average)		
А.	Project #2 (Public Works client):         Completed on:         Completed between 9/30/12 to present?         No         Yes         Construction Cost at bid:         At least \$3 million at bid?         No         Yes         No, did not meet requirements         No, met requirements however project is at least 50% complete         Yes, met all requirements         Maximum points allowable:		Do not use/NPQ 0 0
	Project Data Sheet:         1. Was the work performed for a California Community College District, CSU, or the UC system?		1 0 4 0 -5 0 -2 0 -1

No.	CATEGORY/POINT RANGE	Actual Points Rec'd	# of Points Avail
2.	Construction Experience (weighted average)		
А.	Project #3 (Public Works client):         Completed on:         Completed between 9/30/12 to present?         No         Onstruction Cost at bid:         At least \$3 million at bid?         No         Yes         No, did not meet requirements         No, met requirements however project is at least 50% complete         Yes, met all requirements         Maximum points allowable:		Do not use/NPQ 0 0
	Project Data Sheet:          1. Was the work performed for a California Community College District, CSU, or the UC system?		1 0 4 0 -5 0 -2 0 -1

THE FOLLOWING CATEGORY IS TO BE RATED BY THE OWNER OF THE PROJECT LISTED	
The following scores are derived from the average of the project data sheets <u>received</u> back from the owners.	
Please confirm or deny information on the Project Data Sheet is accurate and correct.	
<ul> <li>All information is accurate – (0) points</li> <li>Inaccurate information – (-2) points</li> <li>No response from Owner after three attempts – (-5) points</li> </ul>	0 -2 -5
Rate the performance of the contractor for this project with reference to project completion time, budget, scheduling, staffing, labor force, project administration and communication between owner, architect, subcontractors,	
etc. Excellent – 7 points Above Average – 5 points Average – 3 points Below Average – 1 points Poor – 0 points Unable to score –	7 5 3 1 0 DNS*
Was the execution of the contract scope performed with professionalism, constructed in accordance with the design documentation and was the project well run?	
<ul> <li>Execution &amp; Performance were excellent – 2 points</li> <li>Execution &amp; Performance were average – 1 points</li> <li>Execution &amp; Performance were less than average or poor – 0 points</li> <li>Unable to score –</li> </ul>	2 1 0 DNS
Was the project similar to the project description in Section 2ii?	
Similar: (1) points Somewhat Similar: (0) points Dissimilar: (-1) points Unable to score – *DNS – Do not score	1 0 -1 DNS
*DNS – DO NOT SCORE Maximum points allowable: 10	

THE FOLLOWING IS RATED BY THE DISTRICT         All information on the three (3) Project Data Sheets was accurate and the projects are similar to the currently listed project for scope, schedule timeline and approximate budget.	0-5
SUBTOTAL FOR CONSTRUCTION EXPERIENCE:	20

END OF EVALUATION FORM