

## CERTIFICATION

### **Disclosure of Financial Relationships of any architect, engineer, or roofing consultant pursuant to Public Contract Code sections 3000-3010**

Date: \_\_\_\_\_

Subject:        Contracts for Roof Projects

Dear Architect, Engineer, or Roofing Consultant:

Please complete the following and return to Foothill De Anza Community College District,  
Attention: \_\_\_\_\_, no later than by \_\_\_\_\_, but *prior* to rendering  
services.

California Public Contract Code 3000 – 3010 requires that Community College Districts obtain a  
disclosure of financial relationships of any architect, engineer, or roofing consultant prior to the time  
professional services are engaged with the District.

However, disclosure of a financial relationship in which that person or entity is a stockholder of a  
corporation of which the stock is listed for sale to the general public on a national securities  
exchange and registered with the United States Securities and Exchange Commission, if the person  
or entity holds less than 10 percent of the outstanding stock entitled to vote at the annual meeting of  
the corporation, is not required. An architect, engineer, or roofing consultant who knowingly  
provides false information or fails to disclose a financial relationship pursuant to this section shall be  
liable to the District for any costs to the District that are reasonably attributable to excess or  
unnecessary costs, when compared to competing bids, or alternate design services, incurred by the  
District as a result of the undisclosed financial relationship.

I, \_\_\_\_\_ (Name), of \_\_\_\_\_ (Name of Employer), certify that I  
have not offered, given, or agreed to give, received, accepted, or agreed to accept, any gift,  
contribution, or any financial incentive whatsoever to or from any person in connection with the roof  
project contract. As used in this certification, "person" means any natural person, business,  
partnership, corporation, union, committee, club, or other organization, entity, or group of  
individuals. Furthermore, I, \_\_\_\_\_ (Name), of \_\_\_\_\_ (Name of  
Employer), certify that I do not have, and throughout the duration of the contract, I will not have,  
any financial relationship in connection with the performance of this contract with any architect,  
engineer, roofing consultant, materials manufacturer, contractor, distributor, or vendor that is not  
disclosed below.

I, \_\_\_\_\_ (Name), of \_\_\_\_\_ (Name of Employer), have the  
following financial relationships with an architect, engineer, roofing consultant, materials

manufacturer, contractor, distributor, or vendor, or other person in connection with the following roof project contract: \_\_\_\_\_ (Name and Address of Building, Contract Date and Number).

LIST OF FINANCIAL RELATIONSHIPS (include Name, Address, and Phone Number):

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I certify that to the best of my knowledge, the contents of this disclosure are true, or are believed to be true.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name of Employer

Any person who knowingly provides false information or fails to disclose a financial relationship in the disclosure set forth in subdivision (b) shall be subject to a civil penalty in an amount up to one thousand dollars (\$1,000), in addition to any other available remedies. An action for a civil penalty under this provision may be brought by any public prosecutor in the name of the People of the State of California.